



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No	10/056,831)	Confirmation No.: 3412
Applicant:	John R. Brustad)	
Filed:	January 24, 2002)	
TC/A.U.	3763)	
Examiner:	Manuel Mendez)	
Docket No.:	A-2207-AL)	
Customer No.:	21378)	

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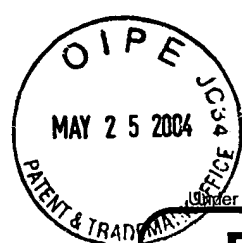
I hereby certify that the following documents, as identified below, are being deposited with "Federal Express" service to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and are addressed to the U.S. Patent and Trademark Office, 2011 South Clark Place, Customer Window, Mail Stop Amendment, Fee, Crystal Place Two, Lobby, Room 1803, Arlington, VA 22202.

1. Amendment;
2. Amendment Transmittal Letter
3. Fee Transmittal
4. Information Disclosure Statement by Application (with copy of 1 citation)

Respectfully submitted,

Barbara Johnson
Barbara Johnson
Applied Medical Resources

CUSTOMER NO.: 21378



PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 180.00

Complete if Known

Application Number 10/056,831

Filing Date January 24, 2002

First Named Inventor John Brustad

Examiner Name Manuel Mendez

Art Unit 3763

Attorney Docket No. P-2207-AL

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number
Deposit Account Name

01-2215

Applied Medical Resources

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180

SUBMITTED BY

Name (Print/Type) Kenneth K. Vu

Registration No. (Attorney/Agent)

46,323

(Complete if applicable)

Telephone (949) 713-80

Signature

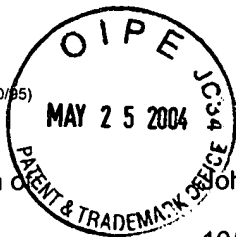
Date

May 24, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

AMENDMENT TRANSMITTAL LETTER

In re application of John R. Brustad.

Attorney Docket No.: A-2207-AL

Serial No.: 10/056,831

Examiner: Manuel Mendez

Filed: January 24, 2002

Group Art Unit: 3763

For: Surgical Access Device with Floating Gel Seal

Mail Stop Fee Amendment
 Commissioner for Patents
 PO Box 1450
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application.

- [x] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.
 [] A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The filing fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	17	MINUS	20	0
INDEP.	3	MINUS	3	0
[] First Presentation of Multiple Dep. Claim				

SMALL ENTITY

RATE	ADDIT. FEE
x 9	0
x 43	
+140	-

or

OTHER THAN
SMALL ENTITY

RATE	ADDIT. FEE
x 18	-0-
x 84	-0-
+280	-0-

or

Total
Addit. FeeTotal
Addit. Fee

\$

- ☐ Please charge my Deposit Account No. _ the amount of \$ _____. A duplicate copy of this sheet is attached.
- ☐ Check No. _____ in the amount of \$ _____ is attached for payment of filing and extension fees for this amendment and Notice of Appeal filing fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 01-2215.
 A duplicate copy of this sheet is enclosed.
 [X] Any additional filing fees required under 37 C.F.R. 1.16.
 [X] Any patent application processing fees under 37 C.F.R. 1.17.

Respectfully submitted,

Kenneth K. Vu
 Attorney for Applicant

Date May 24, 2004
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